



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Cairns et al.

Serial No.: 10/800,167

Filed: March 12, 2004

, For: Method and Apparatus for Parameter Estimation

in a Generalized RAKE Receiver

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA. 22313-1450

Sir:

Patent Pending

Group Art Unit: 2631

Confirmation No.: 9086

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.56, counsel wishes to make of record the attached items of information for the Examiner's consideration in connection with this application. Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office mailed October 26, 2004, which is less than 3 months from this filing. Also enclosed are Forms PTO/SB/08A and PTO/SB/08B for the Examiner's convenience in making such consideration of record. Inclusion herein of any particular item of information is not to be construed as an admission that same is prior art.

The Commissioner is hereby authorized to charge any fees that may be required or credit any overpayment to Deposit Account 18-1167.

Respectfully submitted,

David E. Bennett

Registration No. 32,194

Date: November 8, 2004 File No.: 4015-5161

Raleigh, NC 27602

P.O. Box 5

Tel: (919) 854-1844

Fax: (919) 854-2084



CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS DOCUMENT IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL, IN AN ENVELOPE ADDRESSED TO: MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, PO BOX 1450, ALEXANDRIA, VA. 22313-1450, ON November 8, 2004.

Name of Depositor Karen S. Schuller Signature

Jack Signature November 8, 2004

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Complete if Known

er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

10 10 10 10 10 10 10 10 10 10 10 10 10 1	Application Number	10/800167
INFORMATION BLOOK COURT	Filing Date	March 12, 2004
INFORMATION DISCLOSURE	First Named Inventor	Cairns
STATEMENT BY APPLICANT	Art Unit	2631
(Use as many sheets as necessary)	Evaminar Nama	

(Use as many sneets as necessary)			necessary)	Examiner Name	
Sheet	1	of	2	Attorney Docket Number	4015-5161/P18204-US1

Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
Initials*	No.1	Number-Kind Code ^{2 (if known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
		US-				
		US-				
		US-				
		US-				
		US-				
-		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
· · · · · · · ·		US-				
		US-				
		US-				
	1	US-				
		US-				

	FC	REIGN PATENT DOC	UMENTS		
Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T ⁶
	Country Code ³ –Number ⁴ –Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	
1	EP 1392000 A1	02-25-2004	Ericsson Inc.		
	Cite No.	Cite Foreign Patent Document Country Code ³ –Number ^{4 –} Kind Code ⁵ (if known)	Cite No. Poreign Patent Document Publication Date Country Code 3 -Number 4 Kind Code 5 (it known) MM-DD-YYYY	Country Code ³ –Number ⁴ –Kind Code ⁵ (if known) Date Applicant of Cited Document MM-DD-YYYY	Cite No. Poreign Patent Document Publication Date Date MM-DD-YYYY No. Country Code ³ -Number 4 Kind Code ⁵ (il known) Publication Date MM-DD-YYYY No. Publication Applicant of Cited Document Where Relevant Passages Or Relevant Figures Appear

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional).
² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.
³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).
⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.
⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.
⁶ Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to respond

Substitute for form 4440/DTO	Complete if Known				
Substitute for form 1449/PTO		Application Number	10/800167		
٠,,,				Filing Date	March 12, 2004
INFORMATION DISCLOSURE				First Named Inventor	Cairns
ST	ATEMENT E			Art Unit	2631
(Use as many sheets as necessary)		Examiner Name			
Sheet	2	of	2	Attorney Docket Number	4015-5161/P18204-US1

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate) title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	2	TANTIKOVIT et al., "Joint Multipath Diversity Combining and MLSE Equalization (Rake-MLSE Receiver) for WCDMA Systems," 51 st IEEE Vehicular Technology Conference Proceedings, May 15, 2000, pp. 435-439, Vol. 1 of 3.	
	3	TANTIKOVIT et al., "On Combining Schemes for W-CDMA RAKE Reception in the Presence of Interpath Interference," 3 rd IEEE Signal Processing Workshop on Signal Processing Advances in Wireless Communications, March 20, 2001, pp. 5-8.	
	4	TANTIKOVIT et al., "A Unified View of RAKE Reception and its Application on Receiver Designs for Multimedia Capable Mobile Terminals in W-CDMA," IEICE Trans. Commun., October 2002, pp. 1944-1*56, Vol. E85-B, No. 10.	

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450. ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.